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PATENT  
STL920000098US1  
0055.0043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Charles E. Anya
T. Lee et al.	)	
Serial No.: 09/918,143	)	
Filed: July 30, 2001	)	Art Unit: 2194
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR PERFORMING	)	
WORKFLOW RELATED	)	
OPERATIONS	)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

09/08/2005 EAYALEW1 00000020 090460 09918143

01 FC:1806 180.00 DA

- B. ☐ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

#### **STATEMENT UNDER 1.97(e)**

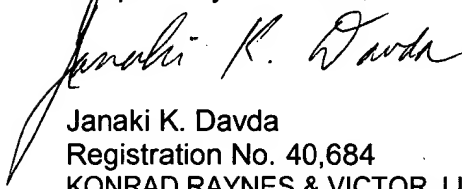
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

As required under paragraph C *supra*, the Commissioner is hereby authorized to charge payment of the fee associated with this IDS in the amount of \$180 to Deposit Account No. 09-0460 as set forth in 1.17(p). A duplicate of this letter is enclosed for fee processing.

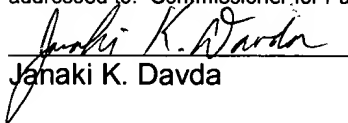
Respectfully submitted,



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Dated: September 2, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on September 2, 2005.

  
Janaki K. Davda

9/2/05  
(Date)

<div style="display: flex; justify-content: space-between; align-items: center;"> <div> <p>Form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> </div> <div style="text-align: right;"> <p><i>Complete if Known</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Application Number</td> <td>09/918,143</td> </tr> <tr> <td>Filing Date</td> <td>July 30, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>T. Lee et al.</td> </tr> <tr> <td>Art Unit</td> <td>2194</td> </tr> <tr> <td>Examiner Name</td> <td>Charles E. Anya</td> </tr> <tr> <td>Attorney Docket Number</td> <td>STL920000098US1</td> </tr> </table> </div> </div>				Application Number	09/918,143	Filing Date	July 30, 2001	First Named Inventor	T. Lee et al.	Art Unit	2194	Examiner Name	Charles E. Anya	Attorney Docket Number	STL920000098US1
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Page	1	of	3												

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-5,596,750	01-21-1997	Li et al.	
		US-5,745,687	04-28-1998	Randell	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Page	2	of	3	Application Number	09/918,143
				Filing Date	July 30, 2001
				First Named Inventor	T. Lee et al.
				Art Unit	2194
				Examiner Name	Charles E. Anya
				Attorney Docket Number	STL920000098US1

		US-6,769,124	04-27-2004	Schoening et al.	
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		US-2004/0015821	01-22-2004	Lu et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code- Number- Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		JP- 10-081425	03-31-1998	Blake et al.		Ab
		JP- 10-326314	12-08-1998	Saito et al.		Ab
		JP- 11-003298	01-06-1999	Ogawa et a.		Ab
		JP- 11-175644	07-02-1999	Minoya et al.		Ab
		JP 11-249983	09-17-1999	Chen et al.		Ab

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		CHOUDHURY, G.S., C. REQUARDT, I. FUJINAGA, T. DILAURO, E.W. BROWN, J.W. WARNER, and B. HARRINGTON, "Digital Workflow Management: The Lester S. Levy Digitized Collection of Sheet Music", [online], © 2000 <i>First Monday</i> , [Retrieved on March 17, 2005], retrieved from the Internet at <URL: <a href="http://firstmonday.org/issues/issue5_6/choudhury/index.html">http://firstmonday.org/issues/issue5_6/choudhury/index.html</a> >.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

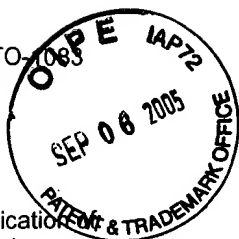
(use as many sheets as necessary)

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				Art Unit	2194
				Examiner Name	Charles E. Anya
				Attorney Docket Number	STL920000098US1

		GULCU, C. (Ed.), "Suitable Interface Adaptor (Workflow Module)", <i>Cross-Organisational Workflow: CrossFlow</i> , ESPRIT E/28635, December 1999, pp. 1-12.	
		HUDGINS, J. and L.A. MACKLIN, "New Materials, New Processes: Implementing Digital Imaging Projects into Existing Workflow", <i>Library Collections, Acquisitions, &amp; Technical Services</i> , 2000, No. 24, pp. 189-204.	
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		IBM CORPORATION, "Non-Destructive Annotation of Documents in an Image Processing Environment", <i>IBM Research Disclosure</i> , No. 430191, February 2000, pp. 404-408.	
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		NYBERG, K., "Workflow Definition Languages", [online], November 21, 2000, retrieved from the Internet at <URL: <a href="http://www.cs.hut.fi/~kny/workflowlang/">http://www.cs.hut.fi/~kny/workflowlang/</a> >.	
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		TRAMMELL, K., "Work Flow Without Fear", <i>Byte.com</i> , [online], September 6, 2004, retrieved from the Internet at <URL: <a href="http://www.byte.com/art/9604/sec8/art1.htm">http://www.byte.com/art/9604/sec8/art1.htm</a> >.	

Examiner Signature		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application  
 T. Lee et al.  
 Serial No.: 09/918,143  
 Filed: July 30, 2001  
 For: METHOD, SYSTEM, AND PROGRAM  
 FOR PERFORMING WORKFLOW  
 RELATED OPERATIONS

Examiner: Charles E. Anya

Art Unit: 2194

 Customer No.  
 47069

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith in the above-identified application is an:

☒ Reply under 37 CFR 1.116- Expedited Procedure; 19 pages.  
☒ Information Disclosure Statement & 1449; 62 refs.  
☒ Return Postcard.

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA RATE	ADDIT. FEE OR RATE	ADDIT. FEE
TOTAL	44	MINUS 48	= 0	x \$0	OR x 50 \$0
INDEP CLAIMS	6	MINUS 6	= 0	x \$0	OR x 200 \$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+	\$0	OR + 360 \$
			TOTAL	\$0	OR TOTAL \$0

— Please charge Deposit Account No. 09-0460 the amount of \$\_\_\_ to cover the extension fee and also the amount of \$\_\_\_ to cover the claim fee. A duplicate copy of this sheet is enclosed.

— A check in the amount of \$\_\_\_ to cover the extension fee is enclosed.

— A check in the amount of \$\_\_\_ to cover the filing fee is enclosed.

— A check in the amount of \$\_\_\_ to cover the petition fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.09-0460. A duplicate of this sheet is enclosed.

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

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Dated: September 2, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 2, 2005.

Janaki K. Davda

Date

9/2/05